



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Robert B. Lowrance, Martin R. Elliott, Jeffrey C. Hudgens and Eric A. Enghardt
Title: METHODS AND APPARATUS FOR TRANSPORTING SUBSTRATE CARRIERS
Serial No.: 10/764,982
Filed: January 26, 2004
Examiner: Mark A. Deuble
Group Art Unit: 3651

Transmitted herewith is:

☒ PTO Form 1449 and Information Disclosure Statement with twenty-one cited references.

☒ Return Postcards

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$88.00	\$0.00
Basic Filing Fee				\$790.00	PAID
TOTAL FEES					\$0.00


☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

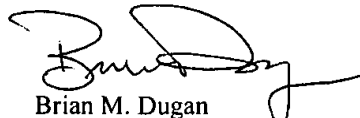
☒ Please address all future correspondence to:
Customer # 41161
Dugan & Dugan, PC
55 South Broadway
Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: April 19, 2005

Signature: 

Respectfully submitted,


Brian M. Dugan
Registration No. 41,720
(914) 332-9081



PATENTS
7163/SYNX/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice et al.
Serial No. : 10/764,982
Filed : January 26, 2004
For : METHODS AND APPARATUS FOR TRANSPORTING
SUBSTRATE CARRIERS

Group Art Unit : 3651

Customer No. : 41161

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 6,607,073, Buchi et al.
U.S. Patent No. 5,996,776, Van Zijderveld
U.S. Patent No. 5,573,106, Stebnicki
U.S. Patent No. 5,549,195, Aulagner et al.
U.S. Patent No. 5,377,819, Horton et al.
U.S. Patent No. 5,339,938, Patin
U.S. Patent No. 5,303,818, Gruettner et al.
U.S. Patent No. 4,711,346, Breher et al.
U.S. Patent No. 4,394,901, Roinestad

U.S. Patent No. 4,084,687, Lapeyre
U.S. Patent No. 3,967,721, Rhoden
U.S. Patent No. 3,865,229, Velandar
U.S. Patent No. 3,788,455, Dieckmann
U.S. Patent No. 3,737,024, Gelzer
U.S. Patent No. 3,730,331, Goldberg
U.S. Patent No. 3,682,295, Roinestad
U.S. Patent No. 3,664,491, Scanlon et al.
U.S. Patent No. 2,689,638, Mojonnier
U.S. Patent No. 2,268,542, Bergmann
U.S. Patent No. 2,157,283, Dyson
U.S. Patent Application Publication No. 2003/0173191,
Hammock et al.

These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449)

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read "Brian M. Dugan", with a long horizontal flourish extending to the right.

Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated: April 19, 2005
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office

Docket No.:

7163/SYNX/SYNX/JW

Serial No.:

10/764,982

LIST OF RELEVANT ART CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

Michael R. Rice, et al

Filing Date:

January 26, 2004

Group:

3651

U.S. Patent Documents

*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate
	US-1	6,607,073	08/19/03	Buchi et al.		
	US-2	5,996,776	12/07/99	Van Zijderveld		
	US-3	5,573,106	11/12/96	Stebnicki		
	US-4	5,549,195	08/27/96	Aulagner et al.		
	US-5	5,377,819	01/03/95	Horton et al.		
	US-6	5,339,938	08/23/94	Patin		
	US-7	5,303,818	04/19/94	Gruettner et al.		
	US-8	4,711,346	12/08/87	Breher et al.		
	US-9	4,394,901	07/26/83	Roinestad		
	US-10	4,084,687	04/18/78	Lapeyre		
	US-11	3,967,721	07/06/76	Rhoden		

Foreign Patent Documents

Translation

	Document Number	Date	Country	Class	Subclass	Yes	No
	F-1						
	F-2						
	F-3						
	F-4						
	F-5						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	OT-1	
	OT-2	
	OT-3	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)				Docket No.: 7163/SYNX/SYNX/JW		Serial No.: 10/764,982	
				Applicants: Michael R. Rice, et al			
				Filing Date: January 26, 2004		Group: 3651	

U.S. Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-12	3,865,229	02/11/75	Velander			
	US-13	3,788,455	01/29/74	Dieckmann			
	US-14	3,737,024	06/05/73	Gelzer			
	US-15	3,730,331	05/01/73	Goldberg			
	US-16	3,682,295	08/08/72	Roinestad			
	US-17	3,664,491	05/23/72	Scanlon et al.			
	US-18	2,689,638	09/21/54	Mojonnier			
	US-19	2,268,542	01/06/42	Bergmann			
	US-20	2,157,283	05/09/39	Dyson			
	US-21	2003/0173191	09/18/03	Hammock et al.			
	US-22						

Foreign Patent Documents Translation								
	Document Number	Date	Country	Class	Subclass	Yes	No	
	F-6							
	F-7							
	F-8							
	F-9							
	F-10							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
	OT-4
	OT-5
	OT-6

Examiner	Date Considered
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